Diameter-Dependent Solubility of Single-Walled Carbon Nanotubes

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A single-walled carbon nanotubes (SWNTs) possess unique mechanical, optical, and electronic properties; these properties are best realized when they are dispersed as individuals. However, the heterogeneity in length, diameter, and chirality as well as the strong van der Waals forces of the as-produced (hereafter referred to as raw) SWNT samples makes it difficult to obtain individualized SWNTs that retain their intrinsic properties in macroscopic applications. Recent efforts have focused on obtaining suspensions of only a single type of SWNT. Specifically, separation of SWNTs by diameter has been of significant interest, because intrinsic SWNT properties depend strongly on the diameter. For example, the strong luminescence of small diameter semiconducting SWNTs (diameter < 0.7 nm) can be used to investigate exciton processes and exciton—phonon interactions which can potentially reveal novel information on unique optical and electronic properties of SWNTs. Moreover, small diameter SWNTs are particularly desirable for material reinforcement, selective chemistry, optoelectronics, and nanophotonics; however, only low concentrations of separated SWNT types have been obtained which greatly limits realization of their potential.

While the construction of single molecular devices from SWNTs has been achieved, manipulating bulk samples into macroscopic entities with optimal properties remains a challenge. A common method to produce macroscopic structures from nanoparticles is self-assembly. In its simplest form, it consists of dissolving the nanoparticles individually in a liquid and then manipulating the liquid to produce the desired macroscopic structure. In this respect, the strong van der Waals interactions between SWNT sidewalls have made dispersion in fluids very difficult. Successful techniques include covalent functionalization and reversible protonation via superacids. Alternatively, SWNTs can be individualized by sonication and stabilized against reaggregation by wrapping with surfactants, polymers, or DNA.

The dispersion of SWNTs as individuals in a liquid has allowed for a better understanding of their spectroscopic properties, thereby opening up research areas such as biosensing and targeted therapy for cancer treatment, and allowed the development of self-assembly processes for producing SWNT films and fibers. Moreover, individual SWNTs in solution are more accessible for chemical manipulation, including sidewall functionalization, material reinforcement, and films production, as well as for fundamental research in type selective chemistry, optoelectronics, and nanophotonics.

ABSTRACT We study the solubility and dispersibility of as-produced and purified HiPco single-walled carbon nanotubes (SWNTs). Variation in specific operating conditions of the HiPco process are found to lead to significant differences in the respective SWNT solubilities in oleum and surfactant suspensions. The diameter distributions of SWNTs dispersed in surfactant solutions are batch-dependent, as evidenced by luminescence and Raman spectroscopies, but are identical for metallic and semiconducting SWNTs within a batch. We thus find that small diameter SWNTs disperse at higher concentration in aqueous surfactants and dissolve at higher concentration in oleum than do large diameter SWNTs. These results highlight the importance of controlling SWNT synthesis methods in order to optimize processes dependent on solubility, including macroscopic processing such as fiber spinning, material reinforcement, and films production, as well as for fundamental research in type selective chemistry, optoelectronics, and nanophotonics.

KEYWORDS: single-walled carbon nanotubes · solubility · solutions · dispersions · diameter

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Batch-Dependent Solubility of HiPco SWNTs. Both the solubility in oleum (1.3 ppm, by mass) and the dispersibility in two aqueous anionic surfactants—dodecylbenzenesulfonic acid sodium salt (SDBS) and sodium deoxycholate (DOC)—were studied (see Experimental Section). The concentration of SWNTs in the different dispersions was determined from the extinction coefficient found by UV–vis–nIR absorbance similar to previous reports. The dispersibility in surfactant-stabilized aqueous suspensions was determined for raw-SWNTs. In oleum, the solubility was measured for both raw and purified SWNTs (see Experimental Section) in order to assess the effects purification procedures can have on solubility.

Figure 1a,b shows that the three batches have significantly different solubilities. In both oleum and surfactant suspensions, batch 187.4 had the highest raw solubility (279 and ~39 parts-per-million (ppm, by mass), respectively) while batch 113.1 had the lowest (78 and ~22 ppm, respectively). A similar batch-dependence for solubility of purified SWNTs in oleum is also observed (Figure 1b), but the purification method affected the solubility in oleum for each of the batches differently (see also Supporting Information).

Solubility is increased for batch 187.4 in the purified case compared to the raw result, while it is decreased for the other two batches. Although this highlights the importance of controlling all processes used to generate the final SWNT product, we focus the remainder of our analysis on the raw-SWNTs in order to better understand which of their properties affect solubility.

SWNT solubility has been predicted to vary with length, but the average length produced by the HiPco process (0.8–1.3 μm39) is insufficient to account for the substantial solubility differences reported here. Differences in SWNT diameter distribution may also affect their solubility and dispersibility because intertube interactions (dominated by van der Waals forces), surfactant conformation, and tube protonation all depend on diameter. So far, it has been assumed that the mean diameter of HiPco SWNTs is ~1 nm, with small batch to batch variations (~0.05 nm). Previously, HiPco diameter distributions were obtained by transmission electron microscopy (TEM); however, this is not a “bulk” technique and the results are limited to only a few images taken of a given sample. Here we measure more accurately the diameter distribution in our samples by combining several complementary spectroscopic techniques (Raman, absorbance, luminescence), to avoid any potential bias intrinsic in a single technique.

Raman, in particular, allows the verification that metallic and semiconductor diameter distributions are similar. The result is the demonstration of a strong
Spectroscopic Demonstration of Diameter-Dependent Solubilities. The different batches of SWNTs were studied spectroscopically in order to test our hypothesis that the differences in solubility and dispersibility are diameter induced. Resonant Raman spectroscopy, UV−vis−nIR absorbance, and luminescence were performed on individually suspended surfactant-wrapped SWNTs prepared using a procedure modified from ref 14. All spectroscopic characterizations were performed on raw-SWNT aqueous dispersions at −10 ppm (see Experimental Section).

Raman Spectroscopy. Examination of the radial breathing mode (RBM) region of the Raman spectra reveals that the different batches have different diameter distributions both for metallic and semiconducting species.23,43−48 The strong SWNT RBM resonances (between 497 and 850 nm excitation wavelength) allow assignment of 55 different (n,m) chiralities within the three studied batches, grouped in 12 semiconducting and 7 metallic families (formed by 2n + m = constant, assigned SWNTs can be found in Table S.1 in the Supporting Information) with diameters ranging from 0.62 to 1.564 nm. Figure 2a shows a series of RBM peaks and their respective (n,m) assignment as a function of excitation wavelength43−48 for batches 187.4 (blue trace), 164.4 (red trace), and 113.1 (black trace) containing SWNTs dispersed in DOC. By performing resonant Raman spectroscopy with excitation at 680 nm, three families are selected: two semiconducting (2n + m = 19 and 26) and one metallic (2n + m = 33) (Figure 2a). In batch 187.4, the predominant peak is found to be from the small diameter family 19 and corresponds to the (9,1) tube (d = 0.782 nm). In contrast, batch 113.1 contains predominantly larger diameter families 26 and 33, corresponding to (13,0) tubes (d = 1.043 nm) and (16,1) tubes (d = 1.313 nm), respectively. Comparison of the relative integrated areas of specific RBMs over a given frequency range can provide a more quantitative measure of how the relative population abundance of a given diameter range changes between specific SWNT batches (Figure S.1 in the Supporting Information).49 The relative integrated area of the populations in Figure 2b show that in batch 187.4 there is a greater population of smaller diameter tubes, while in batch 113.1 there is a greater population of large diameter tubes. For the other two excitation wavelengths (610 and 514 nm) shown in Figure 2a, there are two regions selected: ~260 cm−1 (d ≈ 0.9 nm) and ~200 cm−1 (d ≈ 1.2 nm). These two wavelengths were selected to better illustrate the different diameter distribution behavior for both semiconducting and metallic species; 610 nm is in resonance with smaller diameter semiconductors and larger diameter metallics (E22 and M11 transitions, respectively), while 514 nm is in resonance with the E33 transition.
transitions of the large diameter semiconductors and the $M_{11}$ transitions of the small diameter metallics (Figure S.2e in the Supporting Information).\textsuperscript{6,43} The RBM intensities of small diameter SWNTs ($d < 0.9$ nm), corresponding to the $(11,1)$ semiconducting SWNT ($610$ nm) and the $(9,3)$ metallic SWNT ($514$ nm), are mainly independent of the batches (Figure 2b). In contrast, batch 113.1 contains a higher proportion of large diameter metallic ($610$ nm) and semiconducting ($514$ nm) SWNTs than the two other batches.

For further comparison of the RBM response expected from batches composed of predominantly large diameter SWNTs, we compare the RBM spectra of HiPco SWNTs to that obtained with laser oven SWNTs (Carbon Solutions, Inc., batch no. 02-304). We find that the laser oven SWNTs have a high proportion of larger diameter tubes, with the mean diameter centered at $\sim 1.4$ nm (Supporting Information Figure S.3, 633 and 514 nm excitation).\textsuperscript{23,42} The RBM region of the laser oven SWNTs displays peaks at lower frequencies ($\sim 200$ cm$^{-1}$), like HiPco batch 113.1, confirming that the observed peaks are from the $E_{33}$ resonance of large diameter semiconducting SWNTs (Supporting Information Figure S.3). No significant RBM peaks corresponding to small diameter SWNTs were observed in the laser oven samples; moreover, no fluorescence signal in the near-IR region ($900 - 1400$ nm) using 660 or 785 nm excitation wavelength was obtained from laser oven SWNTs.

Importantly, Raman spectra taken on all batches before ultracentrifugation gave comparable results, indicating that ultracentrifugation does not selectively deplete any specific type or diameter in our dispersion conditions. SDBS suspensions gave qualitatively similar results (Supporting Information Figure S.2b). Moreover, the different observations discussed in Figure 2 are consistent with data taken across all excitation wave-lengths, as shown in Supporting Information Figure S.2a–d.

**Absorbance and Luminescence Spectroscopy.** UV–vis–nIR absorbance spectra and 2D-contour luminescence excitation plots corroborate the different diameter distributions observed by Raman. In Figure 3a,b, the absorption peaks of semiconductors ($E_{11}$ in the range $900 - 1400$ nm) can be separated into two regions: a small diameter ($<1$ nm) region (900–1250 nm, denoted by the blue box) and a large diameter region (1250–1400 nm, denoted by the green box). In batch 187.4 (blue trace), the peaks in the small diameter region are more intense and narrower than in batches 164.4 (red trace) and batch 113.1 (black trace). For all three batches, the large diameter peaks do not exhibit significant differences.

In Figure 4a,b, 2D-contour luminescence plots also confirm that batch 187.4 contains predominantly smaller diameter semiconductors, with the $(6,5)$ peaks being more intense ($d = 0.757$ nm), than found in 164.4 and 113.1. Accordingly, batches 164.4 and 113.1 show significantly more intense signals for large diameter SWNTs ($d > 0.9$ nm), that is, for $(8,6)$, $(9,4)$, $(11,3)$, $(9,5)$, $(10,3)$, $(8,7)$, $(9,7)$, and $(10,5)$ tubes. Normalizing the intensity of the 2D-contour plots by the intensity of the $(6,5)$ SWNT shows unequivocally the distinct differences in diameter distribution of semiconducting SWNTs in the three batches (Supporting Information Figure S.4b).

To quantify the relative abundance and mean diameter of the distribution of semiconducting SWNTs in each batch, a histogram of diameter distribution was built from these luminescence measurements (see Supporting Information Figure S.4a for more details).\textsuperscript{42} Batch 187.4 shows the narrowest diameter distribution with a mean diameter of 0.78 nm, close to the diameter of a $(6,5)$ SWNT. Batches 164.4 and 113.1 have a wider distribution with larger mean diameters of 0.83 and 1.03 nm, respectively.
Summary of Spectroscopically-Determined Diameter Distributions.

Overall, the results of our comprehensive spectroscopic characterization demonstrate that batch 187.4 is highly enriched in small diameter semiconducting and metallic SWNTs with a mean diameter of 0.78 nm. Batch 113.1 has a higher population of larger diameter SWNTs (up to 1.5 nm) with a mean diameter of 1.03 nm, while batch 164.4 has a more uniform diameter distribution centered at 0.83 nm. Interestingly, our diameter distribution observations for the HiPco process are significantly different from those observed through TEM analysis by Carver et al. These differences in diameter distribution are likely the result of changes in the reactor design and operation conditions for each batch (see Experimental Methods). This is further supported by analysis of an independent HiPco batch (189.2) produced using similar reaction conditions and reactor design as batch 187.4. The optical characterization of batch 189.2 shows a similarly monodisperse diameter distribution (centered at 0.783 nm), and we can conclude that HiPco batches produced under these conditions are highly enriched in small diameter SWNTs. More importantly, batch 189.2 also showed high solubility values in oleum (290 ppm) and surfactant suspensions (40 ppm) (Supporting Information Figure S.5), confirming the connection between high solubility and small diameter.

Mechanism of Diameter-Dependent Solubilities. A comparison of the solubilities of Figure 1 to the mean diameter distributions determined optically for each respective batch clearly demonstrates that SWNT solubility in acids and dispersibility in surfactants directly correlate with SWNT diameter. Figure 5 panels a and b show that higher solubility and dispersibility are observed for SWNT batches with smaller diameter distributions. To further investigate the diameter dependence (Figure 5a), the oleum solubilities of laser oven SWNTs ($d \sim 1.4$ nm) and graphite (flat sheets, $d \rightarrow \infty$) were measured. In addition, literature data for the dispersibility of exfoliated graphene ($d \rightarrow \infty$) sheets in aqueous SDBS were compared to that of surfactant-dispersed HiPco SWNTs (Figure 5b). All the graphene results are consistent with our results found for HiPco SWNTs—the solubility drops with growing diameter. Several factors are likely to contribute to this trend.

For the two surfactant suspensions, there are likely two main contributors. In general, at a given volume fraction, there is a greater entropic force for small diameter SWNTs to disperse than large diameter SWNTs. As well, small diameter SWNTs have weaker van der Waals attraction than large diameter SWNTs, making them easier to debundle and disperse. While these factors are likely the largest contributors to the observed solubility dependence on diameter, they do not completely explain the small difference in dispersibility dependent on the surfactant chosen. Such differences are likely driven by differences in the strength of SWNT interaction with the specific surfactants. Specifically, recent reports have shown that SWNT diameter, surfactant composition, and SWNT chirality dictate the surface coverage and surfactant structure around the SWNTs, which will all in turn lead to variable dispersibility results. For instance, molecular dynamics simulations with SDS surfactants have suggested profound changes in surfactant structure as a function of diameter. Such diameter dependencies have also
been seen by McDonald et al.\textsuperscript{60} and Niyogi et al.\textsuperscript{61} which show SDS interacts more strongly with small diameter SWNTs. In contrast, recent separations results suggest DOC interacts more strongly with large diameter SWNTs.\textsuperscript{62} Further experimentation on diameter-selected SWNT samples will be valuable in elucidating how the specific details of surfactant interaction contribute to dispersibility.

While entropic factors and van der Waals interactions contribute to the diameter dependence of solubility in oleum, they do not completely explain the increased solubilities and strong diameter-dependences observed with oleum. The solubility of SWNTs and fullerenes in strong acids depends on the degree of protonation of their sidewalls.\textsuperscript{34,51} Stronger acids induce stronger protonation and, hence, are better solvents.\textsuperscript{34,51} For a given acid, solubility is related to curvature in fullerenes; smaller fullerenes have higher curvature and hence higher protonation and solubility.\textsuperscript{52,53} We hypothesize that this mechanism can be generalized to other structures of sp\textsuperscript{2} carbon. Sharper curvature yields higher strain on the sp\textsuperscript{2}-hybridized carbon structure. This strain can be relieved by removing electrons from the structure, or protonating the SWNT sidewalls. Therefore, highly strained, small-diameter SWNTs should protonate more readily than large-diameter SWNTs. To quantify the degree of strain for a given SWNT material, we compared the shift in the location of the 2D peak in the Raman spectra, which downshifts to lower values for higher strains.\textsuperscript{54,55} Figure 5c shows that the 2D peak, for a given excitation wavelength, upshifts, indicating less strain as the diameter increases until a plateau is reached for large diameters (>1.4 nm). Expectedly, this correlates directly with a decrease in solubility to minimal values for large-diameter SWNTs (>1.4 nm). Ramesh et al. (2007) used similar observations to separate tubes by diameter by washing a SWNT sample with progressively stronger superacids.\textsuperscript{53}

Although there are similarities in the diameter-dependent trends in dispersibility in surfactants and oleum, their equilibrium behaviors are quite different. SWNT solutions in superacids are equilibrium phases that form spontaneously. Conversely, surfactant-stabilized suspensions of SWNTs in water are colloidal suspensions that are not in thermal equilibrium and must be prepared by intense mechanical shearing; therefore, the suspension concentration depends on processing conditions such as sonication time and intensity\textsuperscript{63} as well as surfactant type and concentration. Therefore, results obtained from acid solutions and aqueous suspensions should be compared cautiously.

**CONCLUSIONS**

We have shown that, by controlling the reactor parameters, the HiPco process can yield batches with markedly different diameter distributions; such diameter differences affect the SWNT solubility in superacids and dispersibility in surfactants. Postprocessing of

![Figure 5](image_url)
batches, that is, purification, can also affect SWNT solubility and must be controlled. We have also shown that in oleum, the small-diameter SWNTs are more easily protonated and thus more soluble than larger ones. These results are important because of their implications for increasing the yield in single \((n,m)\) SWNT-type separations. Furthermore, solubility and dispersibility are key parameters for macroscopic SWNT processing techniques including fiber spinning, material reinforce-

### EXPERIMENTAL METHODS

**Reactor Design.** The overall design of the HiPco reactor has been described by Carver et al., and the three batches used in this study \((113.1, 164.4, \text{and} 187.4)\) were produced with different reactor subdesigns. Batch 113.1 was used to produce the so-called “graphite blaster” design, with a water-cooled catalyst injector and a simple showerhead for mixing the catalyst-carrying cold CO stream with the reactant hot CO stream. Batches 164.4 and 187.4 were produced using the so-called “central heater” design (for more efficient heating of the reactants), which utilizes a gas-cooled catalyst stream that is injected at high velocity through a nozzle into the hot CO stream. Batches 164.4 and 187.4 differ in the size of the reaction chamber (reduced by \(-80\%\) in batch 187.4, to eliminate dead volumes).

The reactor power used to produce batch 113.1 was 11700 W, and the production rate was 0.81 g/h. The reactor power used to produce batch 164.4 was 6500 W and the production rate was 0.52 g/h. The reactor power used to produce batch 187.4 was 6000 W and the production rate was 0.67 g/h.

**Preparation of Surfactant Suspensions.** HiPco SWNTs produced in the Carbon Nanotechnology Laboratory at Rice University were individualized by dispersing them with standard suspension procedures as follows. A 10 mg portion of raw HiPco SWNTs was dispersed in 30 mL of deionized (DI) water \((18 \text{ M}\text{ resistivity})\) obtained from a Nanopure system (Barnstead, Dubuque, IA) or deuterium water \((\text{D}_2\text{O}, \text{Aldrich})\) using two different anionic surfactants, dodecylbenzenesulfonic acid, sodium salt \((\text{SDBS}, 99+\%\), Aldrich), and sodium deoxocholate \((\text{DOC}, 99+\%\), Aldrich) at 1 wt %. The suspension procedures\(^{34}\) were slightly modified to minimize the formation of side-wall defects so that highly luminous SWNTs could be obtained. Homogenization was done at 19 000 rpm \((5250 \text{ CAP or PowerGen 700D shear mixer})\) for 10 min, followed by tip sonication at 20–25 W power for 8 min in a cold water bath \((\text{Cole Parmer CPX 750})\). Sonication time and power was kept low to minimize SWNT cutting and side-wall defects. The sample was then ultracentrifuged at 29K RPM \((-144000 g)\) for 4 h in a Beckmann-Coulther TH-641 swing bucket rotor \((	ext{Sorvall WX Ultra 80 centrifuge})\). Only 70% of the supernatant was collected to minimize the collection of bundles toward the bottom of the centrifuge tube. For spectral analysis, the concentration of the SWNT suspensions was adjusted to roughly 10 ppm by UV–vis–nIR absorbance. Thus, pretreatment of all raw samples includes a wash with 90% sulfu-

**Solubility Test in Oleum.** Since fullerences are highly soluble in superacids,\(^{32,33}\) they must be removed prior to any solubility test of raw-SWNTs using UV–vis–nIR absorbance. Thus, pretreatment of all raw samples includes a wash with 90% sulfu-

**Dispersibility Test on Surfactant Suspensions.** We used the UV–vis–nIR absorbance at a specific wavelength of surfactant-stabilized suspensions to obtain the concentration of SWNTs in the dispersions. The procedure was slightly modified from previous reports\(^{35}\) to ensure that the wavelength used to measure the absorption properties of each batch was not affec-

**Solubility Test in Oleum.** The method for analyzing the isotropic solubility of a nanotube sample is outlined in Rai et al., and the procedure involves the following for each batch. An initial solution is prepared at a concentration of 1500 ppm (by mass): \(-32\) mg of dry SWNTs was placed in a clean, dry vial containing a Teflon lined cap and 1.14 mL of oleum \((120\% \text{ fuming sulfuric acid})\) was added. This was stirred in an airtight container for a minimum of 72 h to fully disperse the SWNTs. From this solution, two standards were created at concentrations of 300 and 100 ppm (by mass): for standard 1 \((300 \text{ ppm})\), 1.4 mL of the initial solution was placed in a dry vial with 5.4 mL of oleum and for standard 2 \((100 \text{ ppm})\), 1.4 mL of standard 1 was placed in a dry vial with 3.2 mL of oleum. Both standards were sealed airtight and allowed to stir for 24 h. The initial solution was centrifuged for 12 h.

at 5000 rpm \((-300g)\). The top layer \((\text{isoineonic phase})\) of the cen-

**Purification Method.** Raw HiPco SWNTs were purified by a soft bake method as previously described in order to remove the catalyst particles and any impurities like amorphous carbon and fullerences.\(^{44}\) Briefly, 2 g of SWNTs were placed in a large crystalli-

**Optical Characterization.** Fluorescence, absorbance, and liquid-phase Raman measurements were performed on solutions at roughly the same concentration using raw SWNTs to minimize the extrinsic problems associated with purification procedures. All optical spectra were normalized to concentration. Absor-

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1600 nm using a modified Nicolet NXR-9600 FT-IR spectrometer equipped with a liquid-N$_2$ cooled germanium detector. Spectra were obtained as averages of 100 scans, with a spectral resolution of 16 cm$^{-1}$. The mean diameter distribution of the HiPco SWNT suspension was obtained using a Nanospectrometer model NS1, version 1.97 (Applied Nanofluorescence) and a built-in fitting subroutine (see Supporting Information). The SWNT fluorescence was excited with diode lasers at 660 and 785 nm, and emission was detected between 900 and 1400 nm using a 512 element InGaAs array. Raman spectroscopy was performed using two different setups. First, to collect the spectra, 514 and 633 nm laser excitations were used in a Renishaw system fitted with a microscope. Spectra were collected with a Renishaw Raman Macro Sampling Set (Wire 2 software) between 100 and 3200 cm$^{-1}$ with a 60 s exposure time and 1 accumulation. Raman spectra of laser oven SWNTs and graphite were collected using dry samples. Second, to collect RBM frequencies as a function of excitation wavelength, an in-house system was employed (Los Alamos National Laboratory, NM). Tunable laser resonance Raman measurements were made in a backscattering geometry using a SPEX triple monochromator equipped with charge coupled device detection. Two tunable dye (Kytos Red) and Ti:Sapphire lasers were used for sample excitation between 850 and 610 nm. Excitation lines at 514 and 497 nm were obtained from an argon ion laser. The spectra (sum of two 30 s exposures) were collected using Pl Acton WinSpec software. The excitation power was maintained constant at 25 mW for all wavelengths.

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Supporting Information Available: Alternate purification methods, Raman spectroscopy of different batches and RBM assignments, diameter distribution PL analysis, and batch reproducibility characterization. This material is available free of charge via the Internet at http://pubs.acs.org.

REFERENCES AND NOTES


